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04/18/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named
Inventor : Chris Thrasher et al.

Appln. No. : 09/804,117

Filed : March 12, 2001

For : METHOD AND APPARATUS FOR
GENERATING AND DISPLAYING N-
BEST ALTERNATIVES IN A SPEECH
RECOGNITION SYSTEM

Docket No.: M61.12-0333

Group Art Unit: ---

Examiner: ---

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY
U.S. MAIL, FIRST CLASS, TO THE ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231,
THIS

21 DAY OF April 2001

PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 60/219,861, filed on July 20, 2000. References listed on the enclosed PTO Form 1449 were submitted to and/or cited by the Office in the prior application and copies are therefore not required to be provided herewith under 37 C.F.R. § 1.98(d).

LIST REFERENCES NOT SUBMITTED

Submitted herewith is a copy (with English translation as appropriate) of an Official Search Report of the --- Patent Office in counterpart foreign application No. --- filed ---.

The following foreign language documents and English language documents are believed to be equivalent or substantially equivalent:

FOREIGN LANGUAGE

ENGLISH LANGUAGE

TIME OF FILING

The information disclosure statement is being filed:

1. X with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs

last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.

2. ____ after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311. Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ____ a statement as specified in 37 C.F.R. § 1.97(e).
B. ____ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
3. ____ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
B. the petition fee set forth in 37 C.F.R. § 1.17(i).

STATEMENT

(only used if No. 2(A) or No. 3 above is checked)

The person(s) signing below certify

(check appropriate paragraph)

____ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

____ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

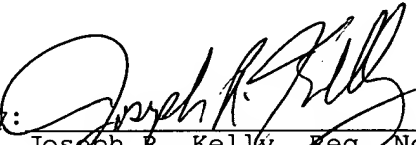
METHOD OF PAYMENT

 X No fee is required.
 Attached is a check in the amount of \$.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

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